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To: Assistant Commissioner for Patents
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Sir: Transmitted herewith for filing is the patent application of:

Inventor: K. NUNOMURA et al (SEE ATTACHED LIST)

For:

**A MANAGEMENT METHOD FOR REPRODUCTION ERROR AND
A DISK DRIVE MAKING USE OF THE MANAGEMENT METHOD**

Enclosed are:

7

Sheets of Drawings



This application is being filed without an executed Declaration.

Priority is claimed from Japanese Application No. 2000-154379filed May 22, 2000☐ A certified copy is attached herewith.

Copies of the disclosure documents listed on the attached PTO 1449 form and

☒ discussed in the specification or ☒ attached Information Disclosure Statement.

A verified statement to establish small entity status under 37 CFR 1.9 and 1.27.

Specification: Abstract X, Description 20 pages; and 7 claim(s).

Preliminary Amendment.



Executed Declaration.

The filing fee is calculated as shown below:

Small Entity

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For:	No. Filed	No. Extra
Basic Fee		
Total Claims	7 - 20 = *	0
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	\$ 355
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x 40	\$
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+ 270	\$ 0
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A check in the amount of \$ 950.00 is enclosed for the filing fee.The Commissioner is hereby authorized to charge any additional fees that may be required to
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Respectfully Submitted,

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BACKGROUND OF THE INVENTION

The present invention relates to a magnetic disk drive and more particularly concerns a management method for managing reproduction errors when it is required that data read from the disk drive should have continuity (in other words, it is required that more than a certain amount of data should be read within a specified time).

Conventionally, the disk drive executes a data reproduction process with top priority placed on the reliability of data. For this reason, if an error is detected during reproduction of data, retry of reproduction (hereafter referred to as retry) is repeated until no errors are detected or until a specified number of retries is reached. After this, an error correction process is carried out when necessary.

An example of the mode that places top priority on the continuity of data is the read continuous mode (hereafter referred to as "RC mode") provided in the SCSI-3 (Small Computer System Interface-3) Standard of ANSI (American National Standards Institute). The RC mode is a mode in which data with a required length is transferred without performing a retry or error recovery and therefore, when an error occurs, erroneous data is transferred as

it is.

As means for clarifying the content of the error, the above-mentioned SCSI-3 Standard provides the REQUEST SENSE command, by which the user can know the contents of errors and a LBA (Logical Block Address) where the error occurred.

There is technology for simultaneously outputting data and error information to a host system, one example of which is disclosed in JP-A-07-320418. According to this prior art, separate transfer lines are provided for data and error information, through which data and error information are output at the same time.

In reproduction with most preference on reliability of data, when an error is found in reproduced data, extra time is taken for retry (including rotational delay time) and error correction than when no error is found. As this excess time is consumed, an amount of data to be processed within a specified length of time cannot be processed in time, so data being deprived of its continuity.

When data is reproduced in the RC mode that gives top priority to data continuity, if reproduced data includes an error, this erroneous data is transferred without notifying the error to the host system, such as a host computer. Therefore, the host system handles wrong data as correct data.

On the other hand, when the REQUEST SENSE

command is used, if data transferred by one READ command includes a number of errors, only one piece of information error (LBA) is sent to the host system but the other errors are not notified to the host system.

- 5 In addition, extra time is consumed, such as time for issuing a REQUEST SENSE command, data is likely to be deprived of its continuity.

SUMMARY OF THE INVENTION

- 10 In description of embodiments of the present invention made to solve the above problems, a disk drive is disclosed, which transfers within a specified necessary time those items of reproduced data, which are to be processed in real time, such as video data and audio data, and supplies information about all
15 errors to the host system.

- The above-mentioned disk drive is provided with a function to limit the number of retries, a function to generate error information or data that indicates the presence or absence of error, and a
20 function to transfer generated error information together with reproduced data to the host system.

The retry-number-limiting function limits the number of retries so that reproduced data can be transferred within a specified necessary time.

- 25 If data of 512 bytes is set as a piece of unit data, the error-information-generating function generates error information concerning the presence or

absence of an error for each unit data in a bit map for example, when generating one or more pieces of unit data in response to one reproduction request from the host system.

5 The data transfer function works to transfer the generated error information together with reproduced data to the host system. More specifically, generated error information is transferred with being added at the head of or at the end of reproduced data.

10 BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a conceptual block diagram of the disk drive according to a first embodiment of the present invention and also shows examples of data;

15 Fig. 2 is a flowchart of a routine when a reproduction error occurs in the prior art;

Fig. 3 is a format of extended sense data in SCSI-3 Standard;

20 Fig. 4 is a flowchart of a routine when a reproduction error occurs in a first embodiment of the present invention;

Fig. 5 is a flowchart of a routine when a reproduction error occurs in a second embodiment of the present invention;

25 Fig. 6 is a conceptual block diagram of the disk drive according to a second embodiment of the present invention;

Figs. 7A-7C show schematic structure examples

of transferred data in the present invention;

Fig. 8 is a conceptual block diagram of the disk drive according to a third embodiment of the present invention; and

5 Figs. 9D-9F show schematic structure examples of transferred data according to the third embodiment of the present invention.

DESCRIPTION OF THE EMBODIMENTS

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The present invention will be described in
10 detail in conjunction with embodiments thereof by referring to the drawings. A first embodiment is described by referring to Figs. 1 to 4 and 7. A magnetic disk drive is taken as an example in those embodiments, but the present invention is not limited
15 to this type of disk drive but may be applied to optical disk drives, magneto-optical drives and other types of disk drives.

Fig. 2 shows in flowchart a retry action in an ordinary reproduction process. A reproduction
20 request (e.g., a READ command in the SCSI-3 Standard) is issued from a host system (Step 1), the disk drive reads data from the disk, error checking is performed and, if there is any error, error correction I is carried out (Step 2), and errors are searched for (Step
25 3). The error correction I is a process that corrects errors in real time by hardware. When an error is detected in Step 3, the data is read again from the

disk (Step 4) and, if there is any error, the error correction I is carried out (Step 5), and errors are searched for (Step 6). Steps 4 to 6 constitute a retry action. If any error is detected in the retry action, 5 a further retry action is carried out. The retry action is repeated until a preset number of times is reached, and if errors have not been corrected, the process of error correction II is carried out (Step 7).

The error correction II is a software-based 10 process that performs higher-performance error correction than the error correction I and requires more processing time. If errors have not been corrected by the error correction II (Step 8), information about errors is sent to the host system 15 (Step 9). In a retry (Step 4), the disk drive again reads the same data stored on the disk so that it has to wait for the disk to make a minimum of one rotation. This rotation delay time for one READ command amounts to 600 ms when the disk is rotating 10,000 rpm and the 20 preset number of retries is 100.

Data to be processed in real time, such as video data and audio data, when it is reproduced, must be transferred within a specified time; however, data transfer may be hindered by retry actions, including 25 rotation delay time mentioned above, and error correction II. When the above-mentioned error information is sent to the host system, the host system issues a REQUEST SENSE command to check the error

content.

Fig. 3 shows a format of extended sense data in SCSI-3 Standard, which shows error contents. The field at 20 indicates Error Code, the field at 21 indicates Sense Key, the field at 22 indicates the last LBA where an error occurred. The time consumed in actions attending on a REQUEST SENSE command hinders the transfer of reproduced data within a specified necessary time. Because only the last LBA where an error occurred is notified to the host system, error information for two or more errors is lacking.

Fig. 1 shows a first embodiment of the present invention. In the first embodiment, error information is sent to the host system without executing a retry action, which includes rotation delay time, and error correction II or issuing a REQUEST SENSE command. Fig. 1 shows a schematic block diagram including a host system 30 and a disk drive 32 and also shows a schematic structure of data when errors occur. For simplicity of explanation, read-out data is shown to comprise a group of unit data, including eight pieces of unit data. The host system 30 is, such as a host computer. A numeral 31 denotes a connection interface made to a standard such as SCSI connecting the host system 30 with the disk drive 32. Numeral 33 denotes a control unit for controlling the disk drive 32, 34 denotes a recorder including a disk-type recording medium, 35 denotes a connection interface

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connecting the control unit 33 with the recorder 34.

Numerals 38 denotes data read from the recorder 34, 36-1 to 36-8 denote eight pieces of unit data, 37-1 to 37-3 denote three pieces of unit data where an error occurred and 39 denotes error information.

When data is reproduced, the host system 30 sends a READ command through the connection interface 31 to the disk drive 32 requesting it to read a plurality of unit data 36 (eight pieces in Fig. 1).

The control unit 33 causes the disk drive 32 to execute a READ command and accesses the recorder 34 through the connection interface 35. Data 38 read from the recorder 34 is input to the control unit 33 through the connection interface 35.

Data (a) 38 read from the recorder 34 is pieces of unit data, which includes of eight pieces of unit data of 512 bytes, for example. If, among the pieces of unit data, some pieces (37-1 to 37-3), include an error or errors and the errors could not be corrected by error correction I carried out by the control unit 33, the control unit 33 generates error information 39 showing locations of all errors. Error information 39 is formed in a bit map in which "1" represents the absence of error and "0" represents the presence of error. Generated error information 39 is sent along with data (a) 38, which are collectively called data (b) including error information to the host system 30 through the connection interface 31.

In response to a READ command issued by the host system 30, data (b) including error information is prepared and sent to the host system. With regard to a READ command, different methods are taken as follows:

- 5 1) Agreement is made in the message phase about a data transfer method, for example, between a host system and a subordinate system as provided in SCSI-3 Standard, 2) Error information is added as data, which does not affect the data structure like a check code added to a
- 10 Write/Read command in SCSI-3 Standard, or 3) Error information is handled as part of data by a Vendor Unique command in SCSI-3 Standard.

Figs. 7A-7C are examples of structure of data (b) including error information. Fig. 7A shows that a

15 read-out data block 70 and an error information block 71 are transferred to the host system in this order. The host system, by a command it issues, recognizes the length of each data block 70 and the length of each error information block 71 and processes data (b)

20 including error information. Fig. 7B shows that a read-out data block 70, a data information block I 72 and an error information block 71 are transferred to the host system in this order. Information written in the data information block I 72 is information that the

25 error information block 71 is going to start next or this information along with information about the length of the error information block 71.

Fig. 7C shows that the order in which a data

information block II 73, a read-out data block 70 and an
error information block 71 are transferred to the host
system in this order. Information written in the data
information block II 73 is information about the length
5 of the read-out data block 70 and information about the
length of the error information block 71. Also in Fig.
7C, as in Fig. 7B, at the head of the error information
block 71, a data information block I 72 may be
provided, in which information is written notifying
10 that the error information block 71 is going to come
next.

Fig. 4 is a flowchart of the first embodiment
of the present invention. Fig. 4 corresponds to the
flowchart of Fig. 2 after Fig. 2 is deprived of the
15 retry actions and added with a step of generating error
information. Components like or equivalent to those
described by reference to Fig. 2 are designated by like
reference numerals and are not described again. A
reproduction request is given by the host system (Step
20 1), the disk drive reads data from the disk, error
checking is performed and if there is any error, error
correction is carried out (Step 2), and errors are
searched for (Step 3). If an error is detected in Step
3, error information or data is generated which shows
25 the locations of all errors in the error information
generating step (Step 40) and reproduced data added
with error information is sent to the host system.

As has been described, according to the first

embodiment, there is provided a disk drive for transferring reproduced data within a specified time to the host system together with error information showing locations of all errors.

5 In the foregoing description, a piece of unit data is 512 bytes long, read-out data includes eight pieces of unit data and error information is 8 bits long. However, the present invention is not limited to these values but so long as those values are within
10 allowable ranges of the whole system, the same effects as mentioned above can be obtained. The relation between the error information length LE (in bits) and the read-out data length LR of a number of unit data need not be $LE=LR$ but a relation of $LE \geq LR$ has only to
15 be satisfied. In other words, even if the error information length LE is made variable according to the read-out data length LR or even if the error information length LE is made a fixed value that surely satisfies the relation of $LE \geq LR$, the same effects can
20 be obtained.

A second embodiment will be described with reference to Figs. 5 and 6. In the second embodiment, the retry action is carried out up to a preset number of times (a maximum number of retries), which is not
25 done in the first embodiment. The user or the system designer can arbitrarily set the maximum number of retries from a required number of data, time required for a retry, the maximum speed of data transfer, etc.

Fig. 5 is a flowchart of the second embodiment of the present invention. Fig. 5 corresponds to the flowchart of Fig. 2 after Fig. 2 is deprived of error notification 9 and added with generation of error information 40, comparison with counter value 50, and counter value count-up 51. Components like or equivalent to those described by reference to Fig. 2 are designated by like reference numerals and are not described again.

10 A reproduction request is sent from the host system (Step 1), the disk drive reads data from the disk, error checking is performed and if there is any error, the error correction I is carried out (Step 2), and errors are searched for (Step 3). If an error is
15 detected in Step 3, in the step of comparison with the counter value, the preset maximum number of retries is compared with the counter value representing the number of retries done (Step 50). When the counter value is larger than the preset maximum number of retries, error
20 information showing the locations of all errors is generated and sent along with reproduced data to the host system in the error information generation step (Step 40).

 When the counter value is smaller than the
25 maximum number of retries, the counter value is counted up by 1 (Step 51), data is read again from the disk (Step 4), error checking is performed and if there is an error, the error correction I is carried out (Step

5), and then errors are searched for (Step 6). If Steps 50 to 51 and Steps 4 to 6 are collectively expressed as a set action of retry, when an error is detected again in another retry action, yet another
5 retry action is performed. Thus, a retry action is repeated until a preset number l ($1 \leq n$) of times is reached. If errors have not been corrected, in the subsequent error information generating step (Step 40), error information is generated which shows the
10 locations of all errors and this error information is sent along with reproduced data to the host system. The counter should be reset on receiving each reproduction command or at fixed time intervals so as not to hinder the transfer of reproduced data within a
15 specified time.

Fig. 6 is a schematic block diagram of the second embodiment. Fig. 6 corresponds to the block diagram of Fig. 1 after Fig. 1 has its control unit 33 replaced by a control unit 60, which includes a
20 function block 61 for limiting the number of retries. The data format of Fig. 6 is the same as that in Fig. 1 and is not shown. Components like or equivalent to those described hereinbefore with reference to Fig. 3 are designated by like reference numerals.

25 When data (a) read from the recorder 34 is found to include an error and the error could not be corrected even by the error correction I by the control unit 60, a controller 62 asks a comparator 65 to

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searching for errors (Step 3), the same effects can be obtained. The basis for comparison has been stated to be the number of retries, but this is not intended as a limitation. For example, the number of retries or
5 retry time per unit time or the number of retries or retry time per command may be used as the basis for comparison, by which the same effects can be obtained.

A third embodiment of the present invention will be described with reference to Figs. 8 and 9.
10 Fig. 8 is a conceptual block diagram of the host system 30 and the disk drive 32 showing the flow of read-out data according to the third embodiment. Fig. 8 corresponds to Fig. 6 after the function block 61 for description of limiting the number of retries in Fig. 6
15 is removed for simplicity of description and a control unit is adopted. Components like or equivalent to those described hereinbefore by reference to Fig. 1 are designated by like reference numerals and descriptions of like components are omitted.

20 In reproduction of data, a READ command is sent from the host system 30 to the disk drive 32 through the connection interface 31. The control unit 80 asks the disk drive 32 to execute the READ command and accesses the recorder 34 through the connection
25 interface 35. The control unit 80 stores data (a), read from the recorder 34, in a buffer 81. When, in the reproduced data, there are some pieces of unit data having an error (or errors) and the errors have not

been corrected by the error correction I by the control unit 80, the control unit generates error information showing the locations of all errors. When data (b) including error information is transferred to the host system 30, error information and read-out data (a) are transferred in this order.

Figs. 9D-9F are schematic structure example of data (b) including error information according to the third embodiment of the present invention. Fig. 9D shows that an error information block 71 and a read-out data block 70 are transferred to the host system in this order. The host system, by a command it issues, recognizes the length of each data block and the length of each error information block 71 and processes data (b) including error information.

Fig. 9E shows that an error information block 71, a data information block III 74 and a read-out data block 70 are transferred to the host system in this order. Information written in the data information block III 74 is information that a read-out data block 70 is going to start next or this information along with information about the length of a read-out data block 70.

Fig. 9F shows that a data information block IV 75, an error information block 71 and a read-out data block 70 are transferred to the host system in this order. Information written in the data information block IV is information about the length of an error

information block 71 and information about the length
of a read-out data block 70. Also in Fig. 9F, as in
Fig. 9E, at the head of a read-out data block 70, a
data information block III 74 may be provided, and in the
5 latter block information is written representing that a
read-out data block 70 is going to come next.

As is clear from the foregoing description,
according to the third embodiment, it is possible to
provide a disk drive for transferring reproduced data
10 within a specified time, and communicating to the host
system error information showing the locations of all
errors, which could not be corrected, ahead of
reproduced data. Consequently, it becomes possible for
the host system to obtain error information before or
15 after receiving data that contains an error (or
errors). This facilitates processing, including data
interpolation and so on.

By substituting a buffer part 81 for the
buffer part that has been used for the error correction
20 I, the same effects can be obtained while suppressing
performance deterioration or cost increase.

From the foregoing disclosure, it will be
understood that aspects of improved disk drives are
provided as follows:

- 25 1) A disk drive, which records and reproduces
information signals on a disk-type recording medium and
which has an interface with another system for transfer
of information signals, comprising;

3) A disk drive set forth in aspects 1) or 2), further comprising means for temporarily storing read-out data before it is transferred, wherein the above-mentioned generated error information signal is transferred ahead of an information signal stored in the above-mentioned temporary storage means.

Because data transfer can be finished within a specified time, the reproduction process of video data, particularly moving images, can be performed smoothly and because error information is also transferred to the host system, concurrent execution of processing moving pictures and ordinary data (information processing) can be realized, which does not demand that the magnetic disk drive be furnished with high performance.

More specifically, a disk drive can be obtained which can transfer reproduced data within a specified time and communicate error information showing the locations of all errors together with reproduced data to the host system. Further, a disk drive can be obtained which can transfer reproduced data within a specified time, with included errors decreased, and communicate error information showing the locations of errors uncorrected, together with reproduced data to the host system. Further, a disk drive can be obtained which can transfer reproduced data within a specified time and communicate error information showing the locations of errors uncorrected, ahead of reproduced data.

Having described the embodiments of the invention with reference to the accompanying drawings, it is to be understood that the invention is not limited to the embodiments and various changes and modifications could be effected therein by one skilled

in the art without departing from the spirit or scope
of the invention as defined in the appended claims.

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WHAT IS CLAIMED IS:

1. A method for managing a reproduction error in a magnetic disk drive, comprising the steps of:

 sending a request from a host system to said disk drive to reproduce data from a disk;

 reproducing the data; and

 outputting a signal including the reproduced data and a signal concerning errors in reproduction, added at the head of or at the end of said reproduced data.

2. A method for managing a reproduction error in a magnetic disk drive, comprising the steps of:

 sending a request from a host system to said disk drive to reproduce data from a disk;

 intending to reproduce the data; and

 outputting a signal including the reproduced data and a signal concerning errors in reproduction, added at the head of or at the end of said reproduced data.

3. A method for managing a reproduction error in a magnetic disk drive, comprising the steps of:

 sending a request from a host system to said disk drive to reproduce data from a disk;

 reproducing data or intending to reproduce the data; and

 outputting a signal including the reproduced data and a signal indicating presence or absence of an error for each unit data of said reproduced data.

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a function of generating error information in reproducing information and a function of adding said error information at the head of or at the end of reproduced information and outputting said generated error information and reproduced data.

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        a function of performing retry in reproducing
information;

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and

6. A magnetic disk drive reproducible for information recorded on a disk-type recording medium, comprising:

a function of generating error information indicating presence or absence of an error for each unit data of information in reproducing said information; and

adding said error information at the head of
or at the end of said reproduced information and
outputting said generated error information and
reproduced information.

7. The magnetic disk drive according to Claim 5, wherein said function of performing retry in reproducing said information includes limiting the number of retries to enable data transfer within a specified time.

ABSTRACT OF THE DISCLOSURE

Heretofore, when an error occurs during data reproduction, a retry is repeated until the error is corrected, which leaves a possibility of data transfer being not completed within a specified time. Only one piece of error information on uncorrected errors is informed to a host system even if the errors have occurred in a plurality of unit data. To solve the problem, a magnetic disk drive is provided which can transfer data within a specified time because the number of retries executed when errors occur is limited to a range that data transfer can be finished within a specified time. The disk drive generates error information regarding all errors caused in reproduction and communicates error information along with reproduced data to a host system. The disk drive is capable of concurrent execution of data processing that allows of errors and data processing that does not allow of errors without demanding that the disk drive be furnished with high performance.

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FIG. 1

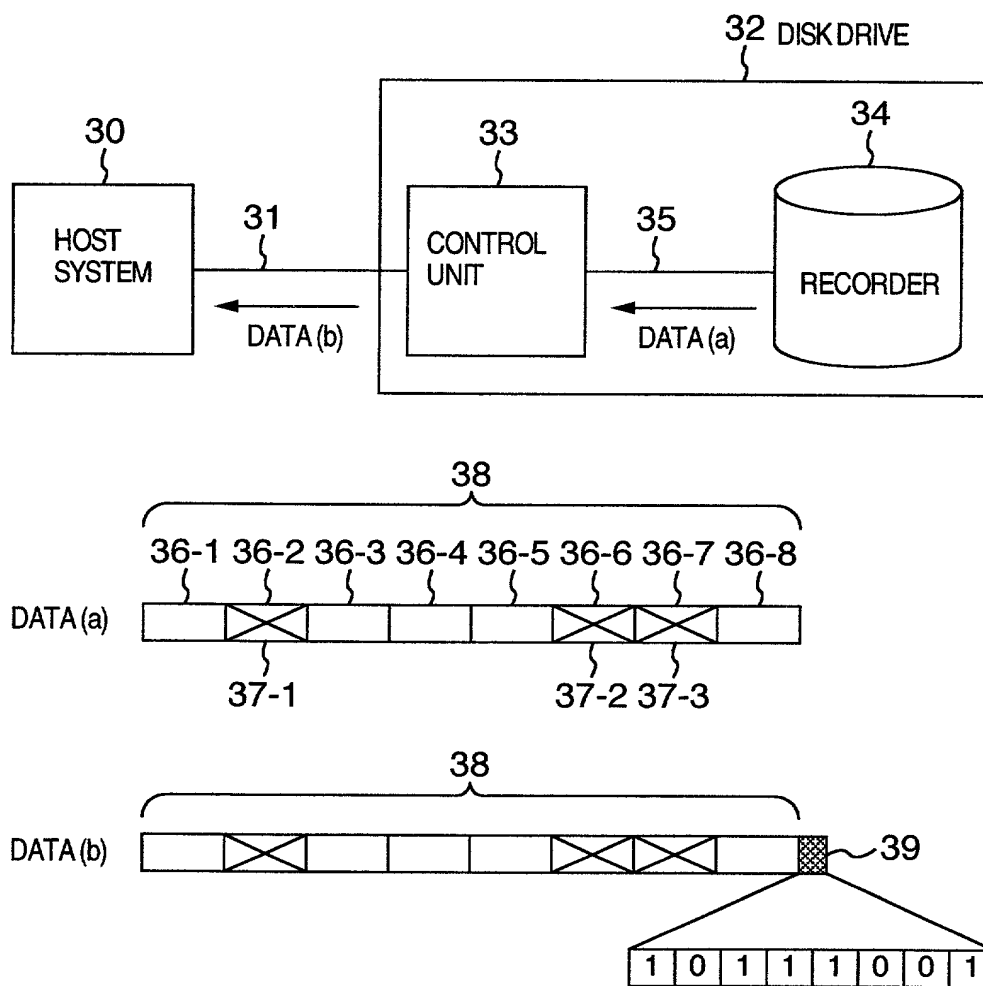


FIG.2

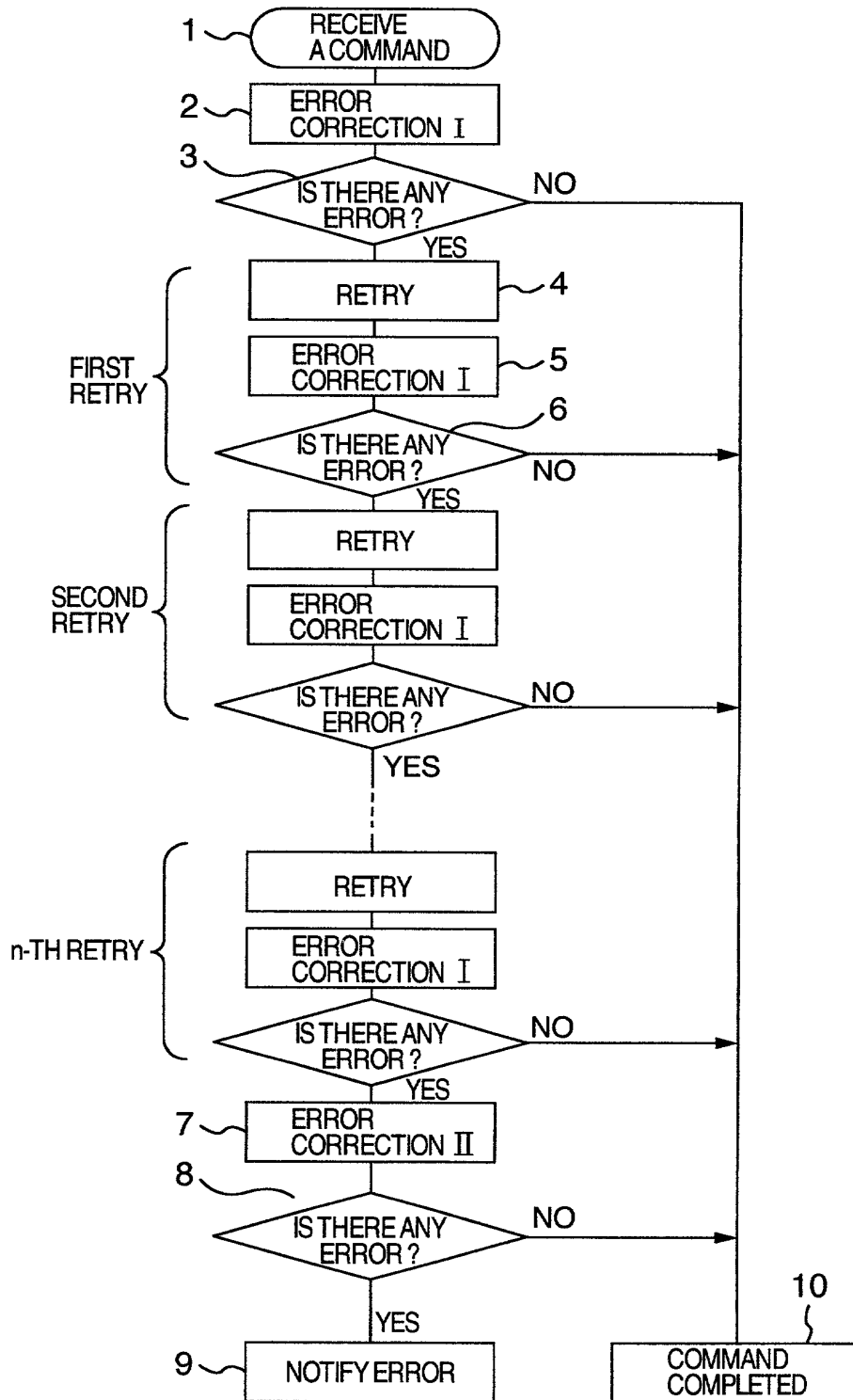


FIG.4

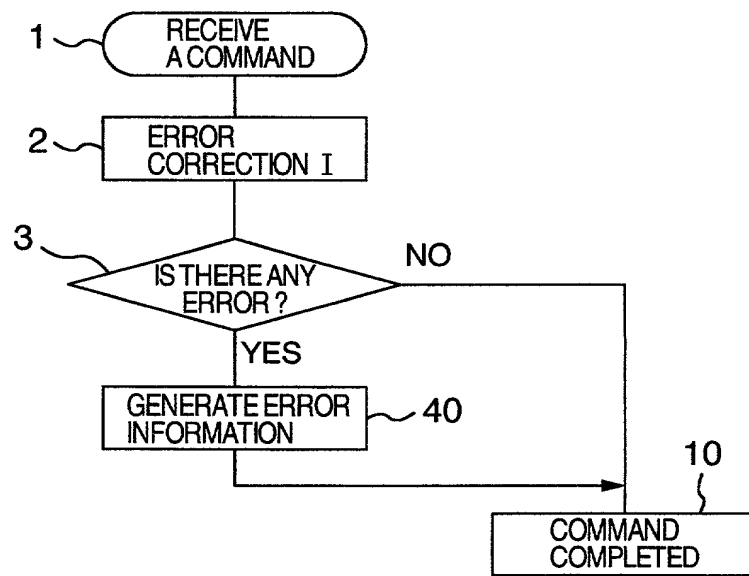


FIG.5



FIG.6

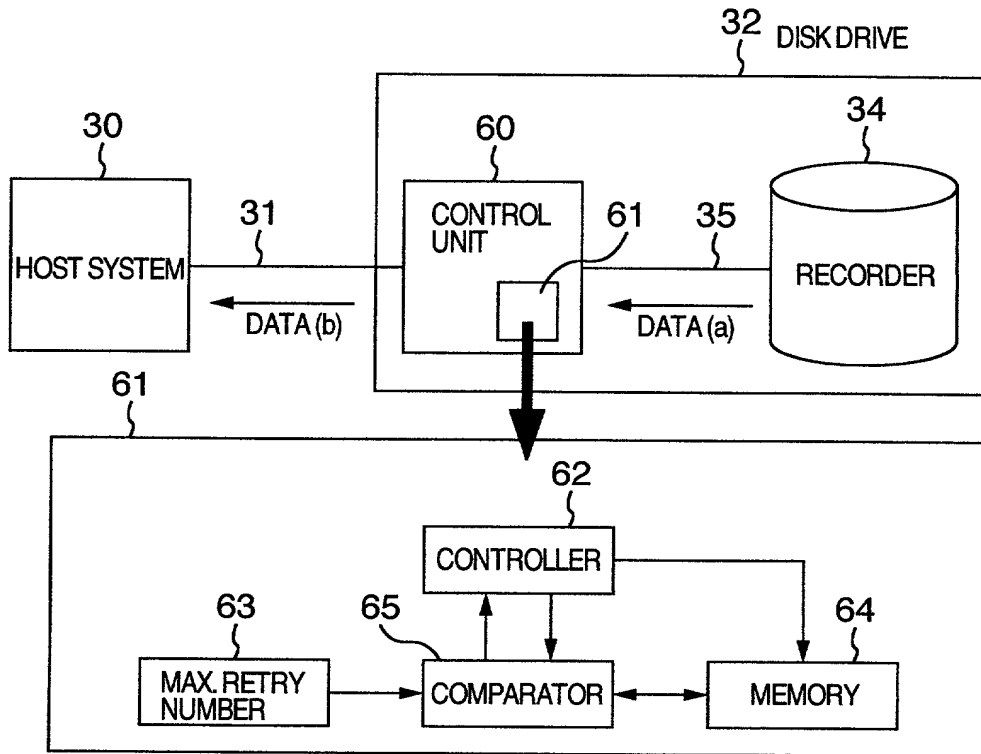


FIG.7A

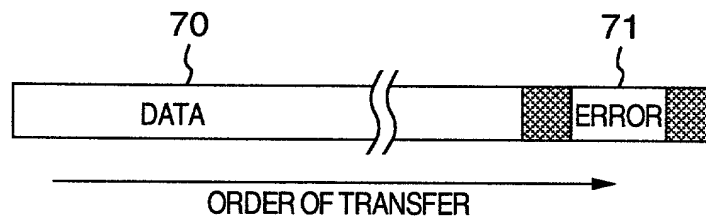


FIG.7B

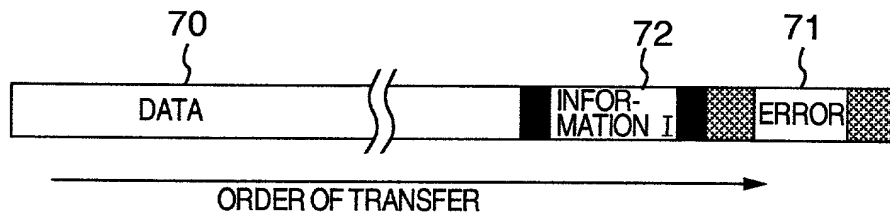


FIG.7C

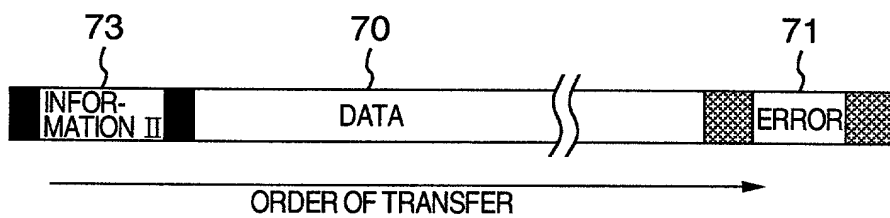


FIG.8

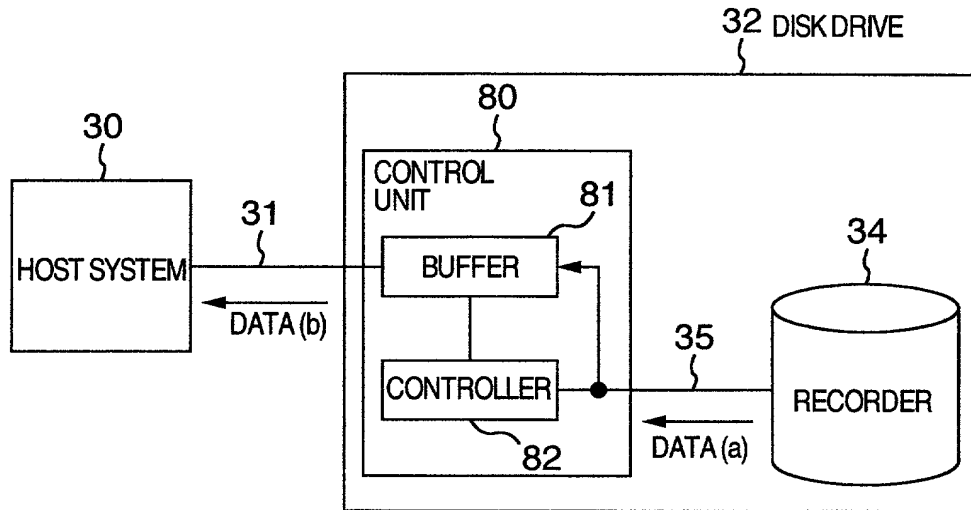


FIG.9D

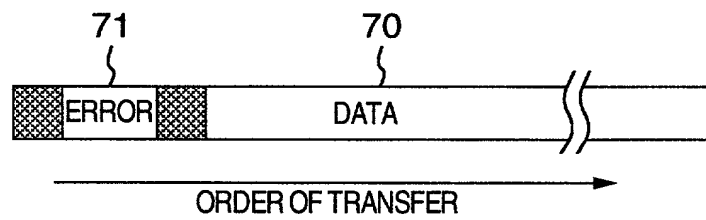


FIG.9E

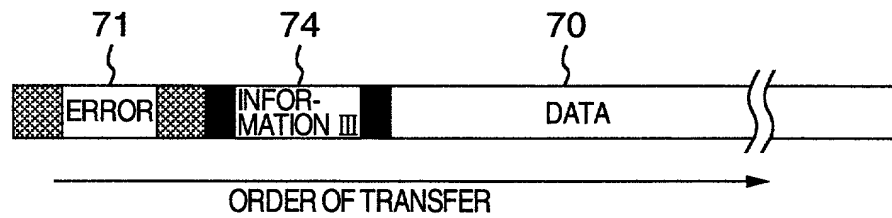


FIG.9F

